



FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

5813CIP2CIP1/53655/
MEG/E303

Application Number

10/066,302

Filing Date

January 30, 2002

Applicant(s)

Randall T. Lashinski, et al.

Group Art Unit

3738

Examiner Name

Urmi Chattopadhyay

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | Cite No. ¹ | DOCUMENT NUMBER Number - Kind Code ² (If Known) | PUBLICATION DATE MM-DD-YYYY | NAME OF PATENTEE |
|----------------------|--------------------------|---|--------------------------------|------------------|
|----------------------|--------------------------|---|--------------------------------|------------------|

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ (✓) |
|----------------------|--------------------------|---|--------------------------------|--|-----------------------|
| MC | | DE 196 11 755 A1 - | 02-05-1998 | Gleich Anmelder | |
| | | WO 95/16407 - | 06-22-1995 | Reimold et al. | |
| | | WO 96/40356 - | 12-19-1996 | Whayne et al. | |
| | | WO 98/18411 - | 05-07-1998 | Mahmoodi | |
| | | WO 99/53977 - | 10-28-1999 | Talpade | |
| | | WO 00/41649 - | 07-20-2000 | Kocur | |
| | | WO 00/44313 A1 - | 08-03-2000 | Lambrech et al. | |
| | | WO 01/50985 A1 - | 07-19-2001 | Liddicoat et al. | |
| | | WO 01/54618 A1 - | 08-02-2001 | Langberg et al. | |
| | | WO 01/85061 A2 - | 11-15-2001 | Shapland et al. | |
| | | WO 01/89426 A1 | 11-29-2001 | Andrieu et al. | |
| | | WO 02/00099 A2 - | 01-03-2002 | Liddicoat et al. | |
| | | WO 02/01999 A2 - | 01-10-2002 | Liddicoat et al. | |
| | | WO 02/05888 A1 - | 01-24-2002 | Streeter et al. | |
| | | WO 02/34118 A2 - | 05-02-2002 | Streeter et al. | |
| | | WO 02/053206 A2 - | 07-11-2002 | Alferness et al. | |
| | | WO 02/060352 A1 - | 08-08-2002 | Lashinski et al. | |
| | | WO 02/062270 A1 - | 08-15-2002 | Solem et al. | |
| | | WO 02/062408 A2 - | 08-15-2002 | Taylor et al. | |
| ✓ | | WO 02/062263 A2 | 08-15-2002 | Cohn et al. | |

| | | | |
|-----------------------|---------------------------|--------------------|-----------|
| EXAMINER SIGNATURE | <i>Urmi Chattopadhyay</i> | DATE CONSIDERED | 10/6/2005 |
|-----------------------|---------------------------|--------------------|-----------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

5813CIP2CIP1/53655/
MEG/E303

Application Number

10/066,302

Filing Date

January 30, 2002

Applicant(s)

Randall T. Lashinski, et al.

Group Art Unit

3738

Examiner Name

Urmi Chattopadhyay

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ (✓) |
|----------------------|--------------------------|---|--------------------------------|--|-----------------------|
| MC | | WO 02/076284 A2 - | 10-03-2002 | Cohn et al. | |
| | | WO 02/078576 A2 - | 10-10-2002 | Liddicoat et al. | |
| | | WO 02/096275 A2 - | 12-05-2002 | Cohn et al. | |
| ✓ | | WO 2004/084746 A2 - | 10-07-2004 | Reitan | |

OTHER DOCUMENTS

| EXAMINER INITIALS | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|----------------------|--------------------------|--|
| MC | | LAAKSOVIRTA et al., <i>Expansion and bioabsorption of the self-reinforced lactic and glycolic acid copolymer prosthetic spiral stent</i> , PubMed, Excerpt from J Urol 2001 Sep; 166(3):919-22, one sheet |
| | | LIU et al., <i>Sutural expansion osteogenesis for management of the bony-tissue defect in cleft palate repair: experimental studies in dogs</i> , PubMed, Excerpt from Plast Reconstr Surg 2000 May; 105(6):2012-25; discussion 2026-7, two sheets |
| | | YONEYAMA et al., <i>Super-elastic property of Ti-Ni alloy for use in dentistry</i> , PubMed, Excerpt from Front Med Biol Eng 2000; 10(2):97-103, one sheet |
| | | KOTIAN, <i>Shape memory effect and super elasticity it's dental applications</i> , PubMed, Excerpt from Indian J Dent Res 2001 Apr-Jun; 12(2):101-4, one sheet |
| | | KUO et al., <i>The use of nickel-titanium alloy in orthopedic surgery in China</i> , PubMed, Excerpt from Orthopedics 1989 Jan; 12(1):111-6, one sheet |
| | | CIVJAN et al., <i>Potential applications of certain nickel-titanium (nitinol) alloys</i> , PubMed, Excerpt from J Dent Res 1975 Jan-Feb; 54(1):89-96, one sheet |
| | | BRENNAN, <i>SUITE OF SHAPE-MEMORY POLYMERS</i> , http://pubs.acs.org/cen/topstory/7906notw1.html , News of the Week Materials, February 5, 2001, Volume 79, Number 6, Ceneur 79 6 pp.5, ISSN 0009-2347, three sheets |
| | | STIKEMAN, <i>Total Recall</i> , AN MIT ENTERPRISE TECHNOLOGY REVIEW - Innovation, June 2001, two sheets |
| ✓ | | European Patent Office Office action dated December 22, 2003 for Application No. 00 946 661.6 - 2310, 4 sheets |

EXAMINER
SIGNATURE

Urmi Chattopadhyay

DATE
CONSIDERED

10/6/2005

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



BEST AVAILABLE COPY

| | | |
|---|-------------------------------|---------------------------------|
| FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Attorney Docket Number | 5813CIP2CIP1/53655/ MEG/E303 |
| | Application Number | 10/066,302 |
| | Filing Date | January 30, 2002 |
| | Applicant(s) | Randall T. Lashinski, et al. |
| | Group Art Unit | 3738 |
| | Examiner Name | Urmi Chattopadhyay |

| OTHER DOCUMENTS | | |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| UC | | Written Opinion dated November 8, 2002 for International application No. PCT/EP01/10371, 14 sheets |
| | | International Search Report dated April 23, 2002 for International application No. PCT/EP 01/10371, 4 sheets |
| | | International Search Report dated March 15, 2000 for National application No. SE 9902455-6, 3 sheets |
| | | International Search Report dated October 9, 2002 for National application No. SE 0200073-5, 5 sheets |
| | | International Search Report dated June 5, 2003 for International application No. PCT/EP 02/14655, 7 sheets |
| ✓ | | Buchanan JW, Sammarco CD, Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs, PubMed, Excerpt from Vet Surg 1998 May-June; 27(3): 182-93, abstract, one sheet. |

616231_1.DOC

| | | | |
|---|--|------------------------|-----------|
| EXAMINER SIGNATURE | | DATE CONSIDERED | 10/6/2005 |
| <small>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.</small> | | | |

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

MEG/cks

Sheet 3 of 3